

This form is used to identify Australian non-regulated trusts. If you are a regulated trust (e.g. SMSF), registered managed investment scheme or other type of trust please call KeyInvest Ltd (KeyInvest) for instructions.

Please complete Section 1 - 7 noting the options below to have your customer identification verified.

### Individual Trustees

Each individual trustee who is a signatory on the account must complete the identification procedure as detailed in the relevant Product Disclosure Statement and provide the supporting customer identification documentation. Further Individual Identification Forms are available from our website.

### Company Trustees

a) The Company Identification Form must be completed and submitted with the supporting documentation;

b) Each signatory for the company must complete an Individual Identification Form and provide supporting customer identification documentation.

### Options to have your form verified:

- Financial Adviser - if you are investing through a Financial Adviser your Adviser will accept original or certified copies of customer identification documents

OR

- KeyInvest office over the counter verification - KeyInvest will accept original or certified copies of customer identification documents OR

- Mailed verification - KeyInvest will accept certified copies of customer identification documents. \*(Refer section 9 for Authorised Persons)

## 1) Trust Details

Full Name of Trust	<input type="text"/>
	<input type="text"/>
Full Business Name of the Trustee in respect of the Trust (if any)	<input type="text"/>
	<input type="text"/>

Type of Trust:  Family  Unit Trust  Discretionary

Other - please specify

## 2) Trust Beneficiary Details

Do the terms of the trust identify the beneficiaries by reference to membership of class?

Yes, provide details of membership class(es) (eg unit holders, family members or named person, charitable purpose)

No, please complete details below for each beneficiary of the trust.

Number of beneficiaries within the trust.

Full Given Name(s)	Surname
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

### 3) Trustee Details

Number of trustees within the trust.  Provide full name of each trustee below

#### Trustee 1

Surname or Company Name

Full Given Name

**Residential address if an individual trustee or company registered office address (PO Box is not acceptable)**

Unit no.  Street no.

Street name  Suburb

State  Postcode  Country

#### Trustee 2

Surname or Company Name

Full Given Name

**Residential address if an individual trustee or company registered office address (PO Box is not acceptable)**

Unit no.  Street no.

Street name  Suburb

State  Postcode  Country

#### Trustee 3

Surname or Company Name

Full Given Name

**Residential address if an individual trustee or company registered office address (PO Box is not acceptable)**

Unit no.  Street no.

Street name  Suburb

State  Postcode  Country

#### Trustee 4

Surname or Company Name

Full Given Name

**Residential address if an individual trustee or company registered office address (PO Box is not acceptable)**

Unit no.  Street no.

Street name  Suburb

State  Postcode  Country

### 4) Beneficial Ownership

Provide the names of the individuals that directly or indirectly control\* the Trust. If this is confirmed to be the individual identified(s) as trustee previously mentioned, they must be listed again below to confirm that they are the Trust's Beneficial Owners.

\*includes control by acting as Trustee; or by means of trusts, agreements, arrangements, understandings and practices; or exercising control through the capacity to direct the Trustees; or the ability to appoint or remove the Trustees.

**Complete separate individual customer ID Forms for each of these individuals (unless an individual Customer ID Form has already been provided for this individual as a Trustee or the Beneficial Owner of a Trustee that is an entity).**

Full Given Name(s)	Surname	Roll (such as Trustee or Appointer)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**Please Note: Beneficial Owner/s must be listed above and individual ID Forms completed for all Beneficial Owners.**

Tick box if there are more Beneficial Owners, provide details on a separate sheet

### 5) FATCA Information (US Foreign Account Tax Compliance Act)

FATCA Status (select only ONE of the following categories and provide the information requested)

**Australian Registered Charity or Deceased Estate**  
If the Trust is an Australian Registered Charity or Deceased Estate section 5 is complete

**Others**  
Are any of the Trust beneficiaries, trustees, settlors or beneficial owners US citizens or residents of the US for tax purposes?

Yes  No

If the Trustee is a company, are any of this company's beneficial owners US citizens or residents of the US for tax purposes?

Yes  No

## 5) FATCA Information (continued)

Provide the name, address and Australian Taxpayer Identification Number (TIN) of each beneficiary, trustee, settlor or beneficial owner who is a US citizen or resident of the US for tax purposes. Addresses are only required if they have not already been provided in this form. If there are more than 3 US persons, provide the details of the additional US persons on a separate sheet and tick this box

### Person 1

Surname				
Full Given Name				
Street name			Suburb	
State	Postcode		Country	
TIN				

### Person 2

Surname				
Full Given Name				
Street name			Suburb	
State	Postcode		Country	
TIN				

### Person 3

Surname				
Full Given Name				
Street name			Suburb	
State	Postcode		Country	
TIN				

## 6) Identification Procedures

KeyInvest must verify the following information:

- Full name of the Trust Fund;
- Information about the trust's beneficiaries.
- the Company registration as a Proprietary Company (i.e. Pty Ltd); and
- Australian Company Number (ACN).

To verify this information you must provide to KeyInvest the following documents listed at A or B:

**Please mark x to indicate the type of documentation that you are providing.**

**A** - Original or certified copies or certified extracts of the trust deed showing the name of the Trust, name(s) and addresses of the Trustee(s), the Beneficiaries names/class(es) and execution page.

**OR (if the above cannot be provided)**

**B** - Letter from a solicitor or accountant verifying the name of the trust, name(s) of its beneficiaries/unit holders and / or its class(es) of beneficiaries.

## 7) Declaration by Applicant

I/We declare that the information in this form including each supporting document:

- is complete and correct;
- if the declaration is on behalf of the applicant, authority must be provided (ie. Power of Attorney etc.);
- may be used in connection with any products and services I/we hold, apply for, request or obtain; and
- subject to KeyInvest's privacy policy, may be disclosed to and used by KeyInvest to facilitate compliance with the anti-money laundering and counter-terrorist financing legislation.

These declarations are given by the Trustees of the Trust named in section 1 and any signatories signing this form.

**Important: By signing on behalf of a Trust you hereby confirm that you have the full authority to do so.**

Full name	<input type="text"/>		
Signature	<input type="text"/>	Trustee <input type="checkbox"/>	Other <input type="checkbox"/>
Date	<input type="text" value="DD / MM / YY"/>		

Full name	<input type="text"/>		
Signature	<input type="text"/>	Trustee <input type="checkbox"/>	Other <input type="checkbox"/>
Date	<input type="text" value="DD / MM / YY"/>		

## 8) Financial Adviser use only

- Customer Identification Verified
- Customer Identification Documentation Attached

**By signing this section, I acknowledge and confirm that I have identified the client(s) in accordance with applicable 'Know Your Customer' requirements.**

Financial Adviser Name:	<input type="text"/>	Financial Adviser Stamp: <input type="text"/>
Financial Adviser Signature:	<input type="text"/>	

## 9) Authorised Persons that can Certify Identification Documents

Your photocopied identification should be signed as a certified true copy by one of the following:

### AUTHORISED PERSONS WHO CAN CERTIFY IDENTIFICATION DOCUMENTS

A nominated employee of KeyInvest	A registrar or deputy registrar of a court
An officer with, or authorised representative of, a holder of an Australian Financial Services Licence, having 2 or more continuous years of service with one or more licensees (e.g. financial adviser)	An Australian consular officer or an Australian diplomatic officer
A lawyer	A judge of a court
A magistrate	A Chief Executive Officer of a Commonwealth court
A notary public	A Justice of the Peace
An authorised Australian Post Office permanent employee	An accountant (member of the ICA or CPA)
An officer with 2 or more continuous years of service with one or more finance institutions	A finance company officer with 2 or more continuous years of service with one or more finance companies

## 10) Contact Details

**Phone:** 1300 658 904  
**Email:** [info@keyinvest.com.au](mailto:info@keyinvest.com.au)  
**Web:** [www.keyinvest.com.au](http://www.keyinvest.com.au)  
**Hours of Operation:**  
 8.30am - 5.00pm (CST)

**Postal Address:**  
 KeyInvest  
 PO Box 3340  
 Rundle Mall SA 5000

**Street Address:**  
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 Level 5, 49 Gawler Place  
 Adelaide SA 5000